

404 Rec'd PCT/PTO 30 OCT 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Rhona H. BORTS et al.

Serial No. 09/155,452

Filed September 30, 1998



Attorney Docket No.  
263/PPIR1165US

MEIOTIC RECOMBINATION IN VIVO OF  
PARTIALLY HOMOLOGOUS DNA SEQUENCES

RESPONSE

Assistant Commissioner for Patents,  
Washington, D.C.

Sir:

This is responsive to the Notice to File Missing Parts dated October 27, 1998.

The Notice to File Missing Parts requires the filing of an executed Declaration.

However, an executed Declaration has been previously filed on October 23, 1998. Copies of the executed Declaration and cover letter are enclosed herewith. A copy of the PTO postcard receipt is also enclosed. It is noted that the PTO fee for filing the executed Declaration was also concurrently submitted on October 23, 1998.

Issuance of the Official Filing Receipt is accordingly requested.

Respectfully submitted,

Rhona H. BORTS et al.

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October 30, 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.

09/155,452

BORTS

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

R 263/PFIR1165

INTERNATIONAL APPLICATION NO.

PCT/GB97/00875

I.A. FILING DATE PRIORITY DATE

03/27/97 04/01/96

DATE MAILED: 10/27/98

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WASHINGTON DC 20006

5611

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- a Designated Office (37 CFR 1.494),
- an Elected Office (37 CFR 1.495):
- U.S. Basic National Fee.
- Copy of the international application in:
  - a non-English language.
  - English.
- Translation of the international application into English.
- Oath or Declaration of inventors(s) for DO/EO/US.
- Copy of Article 19 amendments.
- Translation of Article 19 amendments into English.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.
- Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- Information Disclosure Statement(s) filed 30 Sep 98 and \_\_\_\_\_.
- Assignment document.
- Power of Attorney and/or Change of Address.
- Substitute specification filed \_\_\_\_\_.
- Statement Claiming Small Entity Status.
- Priority Document.
- Copy of the International Search Report  and copies of the references cited therein.
- Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY  21 OR  31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice **MUST** be returned with this response.**

Enclosed:  PCT/DO/EO/917

Notice of Defective Translation

Shelby Vigil

PTO-875

PCT International Division

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703) 305-3153